

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Boden et al)	
)	Group Art Unit: NYA
Serial No.:	NYA)	
)	Examiner: NYA
Filing Date:	April 16, 2004)	
)	
For:	NOVEL OPTICAL STORAGE)	
	MATERIALS, METHODS OF)	
	MAKING THE STORAGE)	
	MATERIALS, AND METHODS)	
	FOR STORING AND READING)	
	DATA)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: Karen A. LeCuyer
Karen A. LeCuyer PhD
Registration No. 51,928

Date: April 16, 2004
Cantor Colburn LLP
(860) 286-2929

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
148466-1

In Re Application Of: **Boden et al**

Serial No.

NYA

Filing Date

April 16, 2004

Examiner

NYA

Group Art Unit

NYA

Title: **NOVEL OPTICAL STORAGE MATERIALS, METHODS OF MAKING THE
STORAGE MATERIALS, AND METHODS FOR STORING AND READING DATA**

Address to:

**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Examiner
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Group Art Unit
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**NOVEL OPTICAL STORAGE MATERIALS, METHODS OF MAKING THE
STORAGE MATERIALS, AND METHODS FOR STORING AND READING DATA**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Lindsay Wells

Signature of Person Mailing Correspondence

Lindsay Wells via EXPRESS MAIL

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***This certificate may only be used if paying by
deposit account.**

Karen A. LeCuyer
Signature

Dated: **April 16, 2004**

Karen A. LeCuyer
Registration No. 51,928
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
(860) 286-2929

CC:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 148466-1	Application Number NYA
	Applicant(s) Boden et al	
	Filing Date April 16, 2004	Group Art Unit NYA

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,759,721	6/2/1998	Dhal et al	430	1	11/1/1996
		US 6,221,526 B1	4/24/01	Dhar et al	430	1	5/16/2000

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	WO 02/19040 A2	3/7/2002	PCT				✓
	WO 99/26112	5/27/99	PCT				✓

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Wang et al; "Three-Dimensional Optical Data Storage in a Fluorescent Dye-Doped Photopolymer"; APPLIED OPTICS; Vol. 39, No. 11; April 10, 2000; pages 1826-1834.
		Suzuki et al; "Holographic Recording in TiO2 Nanoparticle-dispersed Methacrylate Photopolymer Films"; APPLIED PHYSICS LETTERS; Vol. 81, No. 22; November 25, 2002; pages 4121-4123.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.